Digitalization & Compliance
What is Compliance?
Compliance:
  • Knowing and following the rules that apply to your business.

Ethics:
  • An expression of a company’s core values, designed to encourage ethical conduct and add real value to business operations (“doing the right thing”).
“It takes 20 years to build a reputation and only five minutes to ruin it”

Warren Buffet, Businessman and Philanthropist, the world’s wealthiest person in 2008
It Comes in all Flavors

• Anti-corruption/bribery
• Privacy
• Data Security
• Competition/Anti-trust
• Insider Trading
• Conflicts of Interest
• Trade controls/Sanctions
• Confidentiality
• Intellectual Property
• Labor and Human Rights
• Sustainability/Environment
• Corporate Governance
• Suppliers/Vendors/Third Parties
• Fraud
• Customs
• Hotlines
• Investigations
• Physical security/Safety
• Mergers and Aquisitions
Increasing Complexity

• Limited or slow access to information
• Critical information not making it to the right place
• Multiple systems and approaches
• Unclear policies, procedures

= Inefficiency and Mistakes
Ask Yourself...

• Do you know what risks do you face?
• Are you aware of the latest requirements?
• Can you prioritize your risks and make risk-based decisions?
• Do you know your status is at any given moment?
• Are you wasting resources through duplicative efforts?
• Have you implemented what you intended?

Or are you rolling the dice?
In an increasingly complex and changing business environment, compliance professionals must continually seek to simplify our programs”

Brian Beeghly, Vice President, Compliance, Johnson Controls
How Digitalization Can Help

Handle compliance as any other business process.

- Provide real time access to information for improved decision making and service delivery.
- Better able to provide accurate, timely and transparent responses to legislative and regulatory requirements.
- Helps you know whether your current state = your desired state.
- Improved ability to identify and remediating risks, and lets you know where you should focus your attention.
- More information sharing across the company and between business units.
- Data mining, analysis, discovering and comparing trends over time.
- Automating certain processes: reduce human error, provide an audit trail, establishes evidence of compliance.
- Ability to investigate fast and efficiently.
Get the Big Picture

- Examine your organization and the risks you face (Risk Assessment)

- Identify how and where compliance and risk management activities are performed (Controls)

- Missing activities, gaps?

- Tasks to be eliminated?
Get Your Ducks in a Row
Implement and Follow Up

- Prioritize
- Assign
- Follow up
- Test
LDaaS — Legal Department as a Service

• **Entirely or partially outsourced** service package that covers all of your company’s legal needs.
• We operate as your very own in-house legal department, we know your business activities and operate proactively.
• **Tailored** according to each customer’s needs (e.g. required fields of expertise, size of the service package).
• **Scalable** with companies of all sizes and fields of business.
• **Flexible** to the customer’s changing needs.
• **Eliminates person risk** (e.g. employee’s illness); the service is produced by a team.
• **A clear monthly fee** which is determined by the size of the service package.
• We utilize **digital tools** to enhance the effectiveness of the service as well as the customer experience (**MyFondia**).
• For more info: [http://www.fondia.com/services/ldaas](http://www.fondia.com/services/ldaas)
MyFondia
### Responsible Lawyer

**Erkki Hyvarinen**

[erikki.hyvarinen@fondia.fi](mailto:erikki.hyvarinen@fondia.fi)

**Owner**

### News

**17.04.2015**

Lorem ipsum dolor sit amet, consectetur adipiscing elit.

**23.03.2015**

Vivamus vitae arcu semper, volutpat erat id, bibendum nulla. Integer convallis facilisis lectus.

**21.02.2015**

Nulla imperdiet ultrices diam vitae placerat. Proin et lorem venenatis, malesuada risus nec, interdum erat.

**05.02.2015**

In sedquam pellentesque, tristique sapien in, maximus dolor. Duis aliquam ligula nisl, vel interdum magna tempus venenatis. Quisque et augue ut arcus imperdiet vitae sit amet diam.

### Our team

[Owner](mailto:erikki.hyvarinen@fondia.fi)  | Contact  | Contact  | Contact  | Contact
---|---|---|---|

---

### Legal Platform

<table>
<thead>
<tr>
<th><strong>CORPORATE</strong></th>
<th><strong>PERSONNEL</strong></th>
<th><strong>IPR &amp; TECHNOLOGY</strong></th>
<th><strong>BUSINESS AGREEMENTS</strong></th>
<th><strong>PRODUCT LIABILITY</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>36</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>MARKETING &amp; CONSUMER PROTECTION</strong></th>
<th><strong>TRANSACTIONS &amp; FINANCE</strong></th>
<th><strong>COMPETITION &amp; PUBLIC PROCUREMENT</strong></th>
<th><strong>PRIVACY</strong></th>
<th><strong>DISPUTE RESOLUTION</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

---

![Mobile app screenshot](image-url)
Bradley D. Mitchell
Senior Legal Counsel

+358 20 7205 482
bradley.mitchell@fondia.fi